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AUG 04 2005

FAX TRANSMISSION COVER LETTER

TO: Commissioner for Patents
Attn: Examiner L. Thanh
Mail Stop Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

DATE: August 4, 2005
FROM: David M. Crompton

OUR REF: 1001.1766101
TELEPHONE: 612-677-9050

Total pages, including cover letter: 5

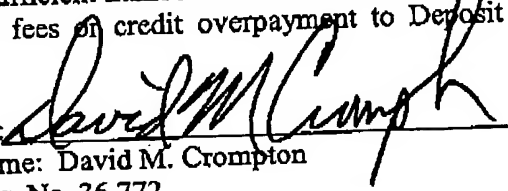
PTO FAX NUMBER: 571-273-8300

If you do NOT receive all of the pages, please telephone us at (612) 677-9050, or fax us at (612) 359-9349.

Title of Document(s) Transmitted: INFORMATION DISCLOSURE STATEMENT,
CERTIFICATION AND STATEMENT FOR
INFORMATION DISCLOSURE STATEMENT, AND
FORM PTO-1449

Applicant: Elaine Lim et al.
Serial No.: 10/792,076
Filed: March 2, 2004
Group Art Unit: 3763
Our Ref. No.: 1001.1766101
Confirmation No.: 4203
Customer No.: 28075

Please charge Deposit Account No. 50-0413 as follows: Fee Code ____ \$ _____. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees on credit overpayment to Deposit Account No. 50-0413.

By: 
Name: David M. Crompton
Reg. No. 36,772

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Name: Kathleen L. Boekley

August 4, 2005
Date

AUG 04 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Blaine Lim et al.

Confirmation No.: 4203

Serial No.: 10/792,076

Examiner: L. Thanh

Filing Date: March 2, 2004

Group Art Unit: 3763

Docket No.: 1001.1766101

Customer No.: 28075

For: OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY
EXPANDABLE BALLOONMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 (1)(B))
I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office
at 571-273-8300 on the date shown below.

Kathleen L. Bookley

Type or print name of person signing certification


Signature

August 4, 2005

Date

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

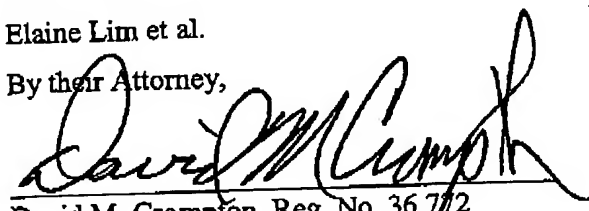
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Elaine Lim et al.

By their Attorney,



David M. Crompton, Reg. No. 36,712
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Telephone: (612) 677-9050
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Date:

8/4/05

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AUG 04 2005

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Elaine Lim et al.

Confirmation No.: 4203

Serial No.: 10/792,076

Examiner: L. Thanh

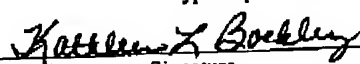
Filing Date: March 2, 2004

Group Art Unit: 3763

Docket No.: 1001.1766101

Customer No.: 28075

For: **OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY
EXPANDABLE BALLOON**Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450**CERTIFICATION AND STATEMENT FOR
INFORMATION DISCLOSURE STATEMENT**

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 (1)(B))	
I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office at <u>571-273-8300</u> on the date shown below.	
Kathleen L. Boekley	
Type or print name of person signing certification	
	August 4, 2005
Signature	Date

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

Appl. No. 10/792,076
Cert. for IDS dated August 4, 2005

STATEMENT

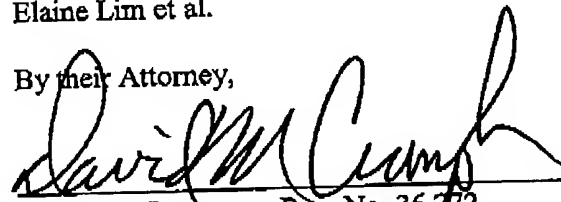
I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

Elaine Lim et al.

By their Attorney,



David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
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Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Date: _____

8/4/05

FORM PTO-1449	Atty. Docket No.: 1001.1766101	Serial No.: 10/792,076
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Elaine Lim et al.	
	Confirmation No.: 4203	
	Filing Date: March 2, 2004	Group Art: 3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2001/0008970 A1	07/19/2001	Ravenscroft et al.	
AB	5,141,494	08/25/1992	Danforth et al.	
AC	5,545,209	08/13/1996	Roberts et al.	
AD	6,039,721	03/21/2000	Johnson et al.	
AE	6,527,741	03/04/2003	Lee et al.	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.